UK REJOYN® Privacy Notice

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Welcome to the Privacy Notice for the REJOYN® smart phone therapeutic application and associated online services ("REJOYN®"), manufactured and operated by Otsuka Pharmaceutical Europe Ltd. ("OPEL"). REJOYN® is a digital therapeutic intended for the treatment of depressive disorder episodes in adults. It is intended to be used alongside usual care provided by a healthcare professional ("HCP"). This Privacy Notice applies to users of REJOYN® ("you/your") and explains how your personal data is collected, used, shared, and protected by OPEL and other Otsuka group companies (collectively, "Otsuka").

OPEL and Otsuka Pharmaceuticals (U.K.) Ltd. ("OPUK") process your personal data. In this Privacy Notice, we refer to OPEL and OPUK collectively as "we", "us", or "our" as applicable.

For the purposes of applicable data protection laws, OPEL and OPUK are joint controllers when processing your personal data to provide you with REJOYN®. We do not perform all processing of your personal data as joint controllers. OPEL makes decisions regarding certain processing of your personal data independently of OPUK, for which OPEL is solely responsible. Similarly, OPUK makes decisions for certain processing of your personal data independently of OPEL, for which OPUK is solely responsible.

Please read this Privacy Notice carefully. If you do not agree with the contents, please refrain from using REJOYN®. Please see our Terms of Use regarding your legal rights in any dispute involving REJOYN®. You should also read any other documents that we give you, that might apply to our use of your personal data in specific circumstances from time to time.

1. About REJOYN®

REJOYN® must be installed on your smartphone for use. Certain personal data and/or special category personal data will be processed by Otsuka and its third parties, including its partners and service providers, as described in this Privacy Notice, in order to provide REJOYN®. To begin using REJOYN®, you will need to enter an access code provided to you via email or by your HCP. You will then be prompted to create a user account, using your name, mobile phone number and email address. In order to protect your privacy, do not share your password with anyone.

As part of using REJOYN®, you will receive periodic SMS text messages, such as personalised messages and reminders about your treatment. Although we believe this feature significantly enhances your experience of REJOYN®, you may opt-out of receiving these messages via SMS text by replying STOP to any of the messages that you have received.

We may also ask for your consent to participate in surveys, questionnaires, and other activities concerning your use of REJOYN® via SMS text messages and other methods.

Please note that SMS text messages are not considered to be completely secure forms of transmission and are not always encrypted.

2. What are the processing roles of OPEL and OPUK?

OPEL and OPUK are joint controllers when processing your personal data to provide you with REJOYN®. OPEL makes decisions for certain processing of your personal data independently of OPUK, for which OPEL is solely responsible, for example, analysing usage activity within REJOYN® to improve user experience.

3. Data Collection and How We Use Your Personal Data

We use your personal data for a number of different purposes and in accordance with applicable laws. The information below sets out the purposes for which we process your personal data when you use REJOYN® and the corresponding lawful basis for that processing. For some processing activities, we consider that more than one lawful basis may be relevant – depending on the circumstances.

Category of data: Contact information, i.e. Your name, mobile phone number, e-mail address and access

code

Purpose of processing: Registration in REJOYN®

Lawful basis: Performance of a contract

Category of data: Health information, i.e. An inferred diagnosis of depressive disorder through your use of

REJOYN®

Purpose of processing: Provision of REJOYN®

Lawful basis: Explicit consent

Category of data: Technical information, e.g., password, IP address, device identifier, model, operating

system version, location information, device time zone information

Purpose of processing: Provision of REJOYN®

Lawful basis: Performance of a contract

Category of data: Usage information, i.e. Your activity within REJOYN® Purpose of processing: Improve REJOYN®, user experience and services

Lawful basis: Legitimate interests (You can opt out of this processing at any time via the Privacy choices

section in REJOYN®).

Category of data: Usage information, i.e. Your activity within REJOYN[®] **Purpose of processing:** Monitoring and maintaining the quality of REJOYN[®]

Lawful basis: Legal obligation

Category of data: Contact information, i.e. Your name, mobile phone number and e-mail address

Purpose of processing: Sending personalised messages and reminders about treatment

Lawful basis: Legitimate interests

We may also use your personal data, obtained via REJOYN®, where we believe it is necessary or appropriate for certain essential purposes, including:

- To Comply with Applicable Law. We may use and share personal data with third parties if we believe that an applicable law, rule or regulation requires us to do so. We will also make our internal practices, books and records relating to our use and disclosure of personal data available to competent regulators in compliance with applicable laws.
- To Respond to Legal Requests and Process. We may use and share personal data with third parties to respond to and/or comply with a legal request or similar process.

- To Protect Our Legal Rights. We may use and share personal data with third parties to establish and protect our rights, privacy, safety or property, security and/or that of our group companies, you or others, or to defend against legal claims.
- To Investigate or Address Suspected Wrongdoing. We may use and share personal data with third parties when we believe it is necessary and in our legitimate interests to investigate, prevent or take action regarding safety and security issues, illegal activities, suspected fraud or situations involving potential threats to the physical safety of any person.
- In Connection with Corporate Changes. We may use and share your personal data with third parties if Otsuka is involved in a merger, acquisition, sale of all or a portion of its assets, bankruptcy or other corporate restructuring.

4. How Personal Data May Be Disclosed and Recipients of the Data

We use and share your personal data as described in this Privacy Notice, and otherwise pursuant to your consent or direction. Specifically, we may use and share your personal data consistent with applicable laws for the following purposes:

- We may share certain elements of your personal data with your healthcare professional.
- ➤ We may share your personal data with our group companies and third parties, including to perform a technological, business, or other professional function for us (examples include software development, information technology services, maintenance, and hosting REJOYN®, performance and analytics, communication services, and customer support). We only provide our group companies and third parties with the necessary information required to perform their functions.
- We also may disclose information about you for the essential purposes identified above to regulators (e.g., public health authorities), including but not limited to where we are required to do so by law or legal process, to prevent harm or financial loss, to investigate or address fraudulent or illegal activity, or for safety and security reasons.
- We reserve the right to transfer the information we maintain to buyers or other third parties in the event we sell or transfer all or a portion of our organisation or assets. If we engage in such a sale or transfer, we will make reasonable efforts to direct the recipient to use your personal data, to the extent it forms part of a sale or transfer, in a manner that is consistent with this Privacy Notice.

5. Your Rights

You have several rights in relation to your personal data, subject to certain conditions and/or restrictions. These rights are:

The right to be informed

You have the right to be provided with clear, transparent and easily understandable information about how your personal data is used and your rights in relation to your data. This is provided through this Privacy Notice and any other privacy notices (including updates) we may provide you from time to time.

The right of access

You have the right to obtain confirmation as to whether or not your personal data are being processed and, if so, access to such data.

The right to rectification

You have the right to have your personal data corrected if it is inaccurate or incomplete.

The right to erasure

You have the right to request the deletion of your personal data. This is not an absolute right and there are certain exceptions. For example, we may have a legal obligation which requires us to keep certain personal data.

The right to restrict processing

You have the right to 'block' or 'suppress' further use of your personal data in certain circumstances. When processing is restricted, we can still store your information but may not use it further. Please note that your right to restrict processing is limited in certain situations; for example, when you contest the accuracy of your personal data processed by us or where we no longer need to process your personal data however you need it for a legal claim.

The right to data portability

You have the right to receive your personal data, provided to us, in a structured, commonly used and machine-readable format and to have such data passed on to another data controller. Please be aware this right only exists where we process your personal data on the basis of your consent or pursuant to a contract and such processing is carried out by automated means.

The right to object

You have the right to object to our processing of your personal data in certain circumstances such as when we rely on our legitimate interests. We may, however, assert compelling legitimate grounds for the continued processing of your personal data, or where we require continued processing for the establishment, exercise or defense of legal claims. If this is the case, we will inform you in a timely manner.

The right to withdraw consent

Where your personal data is processed based on your consent, you have the right to withdraw your consent at any time. If exercised, this will not affect the lawfulness of processing prior to withdrawal.

The right to lodge a complaint with a data protection authority

You have the right to complain to a data protection authority about our collection and use of personal data. For more information, please contact your local data protection authority.

Contact details for the UK data protection authority (the Information Commissioner's Office ("ICO")) can be found here.

For questions, or to request to exercise any of these choices, please <u>submit your query here</u> or contact us by emailing <u>privacy.rejoyn@otsuka-europe.com</u>.

6. Retention

We will only retain your personal data for a limited period of time, and for no longer than is necessary for the purposes for which we are processing the data for. This will depend on a number of factors, including:

- any laws or regulations that we are required to follow;
- whether we are in a legal or other type of dispute with each other or any third party which requires the retention of certain data (e.g., when we are subject to a legal hold);
- the type of information that we hold about you; and
- whether we are asked by you or a regulatory authority to keep your personal data for a valid reason.

7. International Data Transfers

Since Otsuka is a global company, we will sometimes need to transfer your personal data outside the United Kingdom, in particular to the European Union, the USA and our headquarters in Japan.

We will only make that transfer if:

- that country ensures an adequate level of protection for your personal data;
- the recipient or recipient country is subject to an approved certification mechanism or code of conduct with binding and enforceable commitments which amount to appropriate safeguards for your personal data;
- we have put in place appropriate safeguards to protect your personal data, such as a contract with the person or entity receiving your personal data which incorporates specific provisions as directed by applicable data protection authorities;
- the transfer is permitted by applicable laws; or
- you explicitly consent to the transfer.

If you would like to see a copy of any relevant provisions, please contact us via the details provided below.

8. Security

- ➤ REJOYN® Measures. We use appropriate technical and organisational measures to protect the personal data that we collect and process about you. The measures we use are designed to provide a level of security appropriate to the risk of processing your data. Specific measures we use include designing and testing REJOYN® rigorously, encrypting data in transit and at rest and having an independent, external and CREST ('Council for Registered Ethical Security Testers') approved third party to periodically test our systems for security vulnerabilities.
- Your Responsibilities. You are responsible for the security of your REJOYN® account and password. Do not share your password with anyone. You are also responsible for safeguarding and securing your smartphone. If you leave your smartphone unattended, or if it is lost or stolen, you understand that your personal data in REJOYN® may be accessible to others.

9. Minors

As noted in our Terms of Use, REJOYN® is only intended and permitted for use by individuals who are 18 years old and older. Minors are not eligible to use REJOYN®, and we ask that they do not download REJOYN®, register or otherwise submit any personal data to us. We do not knowingly attempt to solicit or receive any information

from minors and if you become aware that a minor has provided us with any personal data through REJOYN®, please contact us at privacy.rejoyn@otsuka-europe.com. We will endeavor to delete such information from our files without undue delay and within a reasonable period of time.

10. Links to Other Websites and Third-Party Content

REJOYN® may contain links to third party websites or applications. Please be aware that we are not responsible for the content or privacy practices of such third parties' websites, applications or services, and we encourage you to read the privacy statements of any such parties.

11. Changes to This Privacy Notice

This Privacy Notice may be updated from time to time at our discretion. We will post any revised Privacy Notice to REJOYN® and the changes will be effective upon posting. In some circumstances, for example, if the change is fundamental or may significantly affect you, we will provide you with the updated Privacy Notice directly and in advance of changes taking place. We encourage you to review the Privacy Notice periodically. If you do not agree with any updates to this Privacy Notice, you should stop using REJOYN®.

12. Questions and Support

If you have any questions regarding our privacy practices in connection with REJOYN® contact us either via email at: privacy.rejoyn@otsuka-europe.com, or by regular mail to: European Data Privacy Officer, Otsuka Pharmaceutical Europe Ltd, 2 Windsor Dials, Arthur Road, Windsor SL4 1RS, UK.